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	before	the ma	iling of a first official action after the filing of a request for
	contin	ued exa	mination (RCE) under 37 CFR § 1.114;
	after t	hree mo	on the filing date of this national application or the date
	of ent	ry of the	national stage in an international application, or after the
	mailir	ng date o	of the first official action on the merits, whichever event last
		•	before the mailing date of the first to occur of either: (1) a
,	final a	ction ur	nder 37 CFR §1.113; or (2) an action that otherwise closes
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Susan T. Hubl, Ph.D. Patent Agent

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PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce Application Number 10/667,236 Patent and Trademark Office Filing Date September 17, 2003 TRANSMITTAL FORM First Named Inventor Brenda F. Baker et al. (to be used for all correspondence during pendency of Group Art Unit Number **Not Yet Known** filed application) **Examiner Name Not Yet Known** Total Number of Pages in This Submission Attorney Docket Number 23546-08149 (BIOL0019US) **ENCLOSURES** (check all that apply) Issue Fee Transmittal Fee Transmittal Form (in duplicate) Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [ ] Sheet(s) of Figure(s) [ ] Assignment & Recordation Cover Sheet Appeal Communication to Board of Appeals and Interferences Declaration Power of Attorney Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Application Data Sheet Certified Copy of Priority Document(s) Copies of 34 IDS Cited References After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [ ] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: \*\*IDS references not included in total pages submitted. SIGNATURE OF ATTORNEY OR AGENT Signature: Susan T. Hubl, Ph.D., Reg. No. 47,668 Attorney/Reg. No.: December 17, 2003 **CERTIFICATE OF MAILING** I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop NON-FEE AMENDMENT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA

December 17, 2003

Dated:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Brenda F. Baker et al.

APPLICATION NO.:

10/667,236

FILING DATE:

September 17, 2003

TITLE:

MOUSE MODEL FOR BONE METABOLISM

**EXAMINER:** 

Not yet known

**GROUP ART UNIT:** 

Not yet known

ATTY. DKT. NO.:

23546-08149 (BIOL0019US)

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Dated: December 17, 2003

Susan T. Hubl, Ph.D., Reg. No. 47,668

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Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

				and,	to the knowledge of th	e person signing this	
					•	easonable inquiry, no item of	
				infor	mation contained in th	is Statement was known to any	
				indiv	idual designated under	r 37 CFR § 1.56(c) more than	
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		*		attached here	eto is the fee set forth t	under 37 CFR §1.17(p) for	
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		This a	pplicati	ion relies, unde	er 35 U.S.C. § 120, on	the earlier filing date of prior	
	-	applica	ation N	o. [APPLICA]	ΓΙΟΝ NUMBER], file	d on [FILING DATE], and the	
		referer	ices cit	ed therein are	hereby referenced, but	are not required to be provided	ĺ
		in this	applica	ation under 37	CFR § 1.98(d).		
		Each in	tem of	information co	ntained in this Inform	ation Disclosure Statement was	;
		cited in	n a com	nmunication fro	om a foreign patent of	fice in a counterpart application	ì,
		and the	e comm	nunication was	not received by any in	ndividual designated in 37 CFR	
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	•	Disclo	sure St	atement.			
	Consi	deration	of the	listed reference	es and favorable action	n are solicited.	
					Respectfully submit	ted,	
					BRENDA F. BAKE	R et al.	
Dated:	Dece	ember 1	7 2003	3	By: MWW	1 Hubl	
Duitu.		<u>cimoer r</u>	7, 2003		Susan T. Hubl, Ph.I		_
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Substitute for form 1449A/PTO Complete if Known Application N . 10/667,236 **INFORMATION DISCLOSURE** Filing Date September 17, 2003 STATEMENT BY APPLICANT First Named Inventor Brenda F. Baker et al. Art Unit Not Yet Known 12/17/03 Submitted **Examiner Name** Not Yet Known 23546-08149 (BIOL0019US) Sheet of Attorney Docket Number

U.S. PATENT DOCUMENTS				
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
····	A1	US-5,977,101	11/02/1999	Ali et al.
	A2	US-6,017,729	01/25/2000	Anderson et al.

	FOREIGN PATENT DOCUMENTS					
Foreign Patent Document						
Examiner Initials*	Cite No.1	•   • • • • • • • • • • • • • • • • •		Name of Patentee or Applicant of Cited Document	T.g.	
	B1	WO 01/23559	04/05/2001	Eli Lilly and Company		
	B2	WO 02/16551	02/28/2002	University of Massachusetts Medical Center		

		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	Anderson, D. M. et al., 'A homologue of the TNF receptor and its ligand enhance T-cell growth and dendritic-cell function,' <i>Nature</i> , Vol. 390, November 13, 1997, 175-179.	
	C2	Burgess, T. L. et al., 'The Ligand for Osteoprotegerin (OPGL) Directly Activates Mature Osteoclasts,' <i>The Journal of Cell Biology</i> , Vol. 145, No. 3, May 3, 1999, 527-538.	
	C3	Fata, J. E., et al., 'The Osteoclast Differentiation Factor Osteoprotegerin-Ligand Is Essential for Mammary Gland Development,' <i>Cell</i> , Vol. 103, September 29, 2000, 41-50.	
	C4	Fuller, K. et al., 'TRANCE Is Necessary and Sufficient for Osteoblast-mediated Activation of Bone Resorption in Osteoclasts,' <i>J. Exp. Med.</i> , Vol. 188, No. 5, September 7, 1998, 997-1001.	
	C5	Gori, F. et al., 'The Expression of Osteoprotegerin and RANK Ligand and the Support of Osteoclast Formation by Stromal-Osteoblast Lineage Cells Is	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

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		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
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		Developmentally Regulated*,' <i>Endocrinology</i> , Vol. 141, No. 12, 2000, 4768-4776.	
	C6	Hofbauer, L.C. et al.; "Stimulation of Osteoprotegerin Ligand and Inhibition of Osteoprotegerin Production by Glucocorticoids in Human Osteoblastic Lineage Cells: Potential Paracrine Mechanisms of Glucocorticoid-Induced Osteoporosis*,' Endocrinology, Vol. 140, No. 10, 1999, 4382-4389.	
	C7	Hsu, H. et al., 'Tumor necrosis factor receptor family member RANK mediates osteoclast differentiation and activation induced by osteoprotegerin ligand,' <i>Proc. Natl. Acad. Sci, USA</i> , Vol. 96, March 1999, 3540-3545.	
	C8	Itonaga, I. et al., "Rheumatoid arthritis synovial macrophage-osteoclast differentiation is osteoprotegerin ligand-dependent," <i>Journal of Pathology</i> , 2000: 192, 97-104.	
	C9	Kartsogiannis, V. et al., "Localization of RANKL (Receptor Activator of NFkB Ligand) mRNA and Protein in Skeletal and Extraskeletal Tissues,' <i>Bone</i> , Vol. 25, No. 5, November 1999, 525-534.	
	C10	Khosla, S., 'Minireview: The OPG/RANKL/RANK System,' <i>Endocrinology</i> 142 (12), December 2001, 5050-5055.	
	C11	Komarova, S.V., et al., 'RANK Ligand-induced Elevation of Cytosolic Ca <sup>2+</sup> Accelerates Nuclear Translocation of Nuclear Factor KB in Osteoclasts*,' <i>The. Journal of Biological Chemistry</i> , Vol. 278, No. 10, March 7, 2003, 8286-8293.	
	C12	Kong, Y. Y. et al., 'Osteoprotegerin ligand: a regulator of immune responses and bone physiology,' <i>Viewpoint Immunology Today</i> , Vol. 21, No. 10, October 2000, 495-502.	
	C13	Kong, Y. Y. et al., 'Activated T cells regulate bone loss and joint destruction in adjuvant arthritis through osteoprotegerin ligand,' <i>Nature</i> , Vol. 402, November 18, 1999, 304-309.	
	C14	Kong, Y. Y. et al., 'OPGL is a key regulator of osteoclastogenesis, lymphocyte development and lymph-node organogenesis,' Nature, Vol. 397, January 28, 1999, 315-323.	

Examiner	Date
Signature	 Considered

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	C15	Lacey, D. L. et al., "Osteoprotegerin Ligand Is a Cytokine that Regulates Osteoclast Differentiation and Activation,' <i>Cell</i> , Vol. 93, April 17, 1998, 165-176.	
	C16	Lee, S. K. and Lorenzo, J.A., 'Parathyroid Hormone Stimulates TRANCE and Inhibits Osteoprotegerin Messenger Ribonucleic Acid Expression in Murine Bone Marrow Cultures: Correlation with Osteoclast-Like Cell Formation*,' <i>Endocrinology</i> , Vol. 140, No. 8, © 1999, 3552-3561.	
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	C18	Ma, Y.L. et al., 'Catabolic Effects of Continuous Human PTH (1-38) in Vivo is Associated with Sustained Stimulation of RANKL and Inhibition of Osteoprotegerin and Gene-associated Bone Formation,' <i>Endocrinology</i> 14299), ©2001, 4047-4054.	
	C19	Menaa, C. et al., 'Enhanced RANK ligand expression and responsivity of bone marrow cells in Paget's disease of bone,' <i>The Journal of Clinical Investigation</i> , Vol. 105, No. 12, June 2000, 1833-1838.	
	C20	Nagai, M. et al., 'Cancer Cells Responsible for Humoral Hypercalcemia Express mRNA Encoding a Secreted Form of ODF/TRANCE That Induces Osteoclast Formation,' <i>Biochemical and Biophysical Research Communications</i> 269, No. 2, © 2000, 532-536.	
	C21	Nakashima, T., 'RANKL and RANK as novel therapeutic targets for arthritis,' ISSN 1040-8711 ©2003 Lippincott Williams & Wilkins, 280-287.	
	C22	Pearse, R. N. et al., 'Multiple myeloma disrupts the TRANCE/osteoprotegerin cytokine axis to trigger bone destruction and promote tumor progression,' <i>PNAS</i> , Vol. 98, No. 20, September 25, 2001, 11581-11586.	
	C23	Rosen, C. J. and BILEZIKIAN, J. P., 'Anabolic Therapy for Osteoporosis,' The	

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Examiner		Date	
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		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
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		Journal of Clinical Endocrinology & Metabolism, Vol. 86, No. 3, © 2001, 957-964.	
	C24	Russell, R. G. G. et al., 'The Influence of Pyrophosphate, Condensed Phosphates, Phosphonates and other Phosphate Compounds on the Dissolution of Hydroxyapatite <i>in vitro</i> and on Bone Resorption Induced by Parathyroid Hormone in Tissue Culture and in thyroparathyroidectomised Rats,' Calc. Tiss. Res., 6, © 1970, 183-196.	
	C25	Swarthout, J. T. et al., 'Parathyroid hormone-dependent signaling pathways regulating genes in bone cells,' <i>Gene</i> 282 (2002), 1-17.	
	C26	Walsh, M.C. and Choi, Yongwon, 'Biology of the TRANCE axis,' Cytokine & Growth Factor Reviews 14, © 2003, 251-263.	
	C27	Wang, R. et al., 'Regulation of activation-induced receptor activator of NF-kB ligand (RANKL) expression in T cells,' <i>Eur. J. Immunol.</i> , 2002, 32, 1090-1098.	
	C28	Wong, B. R. et al., 'TRANCE' (tumor Necrosis Factor [TNF]-related Activation-induced Cytokine), a New TNF Family Member Predominantly Expressed in T cells, Is a Dendritic Cell-specific Survival Factor,' <i>J. Exp. Med.</i> , Vol. 186, No. 12, December 15, 1997, 2075-2080.	
	C29	Wong, B. R et al., 'TRANCE Is a Novel Ligand of the Tumor Necrosis Factor Receptor Family That Activates c-Jun N-terminal Kinase in T Cells*,' <i>The Journal of Biological Chemistry</i> , Vol. 272, No. 40, October 3, 1997, 25190-25194.	
	C30	Yasuda, H. et al., "Osteoclast differentiation factor is a ligand for osteoprotegerin/osteoclastogenesis-inhibitory factor and is identical to TRANCE/RANKL," <i>Proc. Natl. Aca. Sci. USA</i> , Vol. 95, March 1998, 3597-3602.	

Examiner Signature	 Date Considered	
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